

I hereby give notice that an ordinary meeting of the Audit, Risk and Investment Committee will be held on:

Date: Tuesday, 25 August 2020
Time: To follow the Regional Council Meeting
Venue: Tararua Room,
Horizons Regional Council
11-15 Victoria Avenue, Palmerston North

AUDIT, RISK AND INVESTMENT COMMITTEE AGENDA

MEMBERSHIP

Chair	Cr AL Benbow
Deputy Chair	Cr EM Clarke
Councillors	Cr RJ Keedwell
	Cr SD Ferguson
	Cr JM Naylor
	Cr NJ Patrick
	Cr GJ Turkington

Michael McCartney
Chief Executive

Contact Telephone: 0508 800 800
Email: help@horizons.govt.nz
Postal Address: Private Bag 11025,
Palmerston North 4442

Full Agendas are available on Horizons Regional Council website
www.horizons.govt.nz

for further information regarding this agenda, please contact:
Julie Kennedy, 06 9522 800

CONTACTS	24 hr Freephone : 0508 800 800	help@horizons.govt.nz		www.horizons.govt.nz
SERVICE CENTRES	Kairanga Cnr Rongotea & Kairanga-Bunnythorpe Rds, Palmerston North	Marton 19-21 Hammond Street	Taumarunui 34 Maata Street	Woodville Cnr Vogel (SH2) & Tay Sts
REGIONAL HOUSES	Palmerston North 11-15 Victoria Avenue	Whanganui 181 Guyton Street		
DEPOTS	Levin 120-122 Hokio Beach Rd	Taihape 243 Wairanu Rd		
POSTAL ADDRESS	Horizons Regional Council, Private Bag 11025, Manawatu Mail Centre, Palmerston North 4442			
FAX	06 9522 929			

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AGENDA

1 Welcome/Karakia

2 Apologies and Leave of Absence

At the close of the Agenda no apologies had been received.

3 **Public Forums:** Are designed to enable members of the public to bring matters, not on that meeting's agenda, to the attention of the local authority.

Deputations: Are designed to enable a person, group or organisation to speak to an item on the agenda of a particular meeting.

Requests for Public Forums / Deputations must be made to the meeting secretary by 12 noon on the working day before the meeting. The person applying for a Public Forum or a Deputation must provide a clear explanation for the request which is subsequently approved by the Chairperson.

Petitions: Can be presented to the local authority or any of its committees, so long as the subject matter falls within the terms of reference of the council or committee meeting being presented to.

Written notice to the Chief Executive is required at least 5 working days before the date of the meeting. Petitions must contain at least 20 signatures and consist of fewer than 150 words (not including signatories).

Further information is available by phoning 0508 800 800.

4 Supplementary Items

To consider, and if thought fit, to pass a resolution to permit the Committee/Council to consider any further items relating to items following below which do not appear on the Order Paper of this meeting and/or the meeting to be held with the public excluded.

Such resolution is required to be made pursuant to Section 46A(7) of the Local Government Official Information and Meetings Act 1987 (as amended), and the Chairperson must advise:

- (i) The reason why the item was not on the Order Paper, and
- (ii) The reason why the discussion of this item cannot be delayed until a subsequent meeting.

5 Members' Conflict of Interest

Members are reminded of their obligation to declare any conflicts of interest they might have in respect of the items on this Agenda.

Minutes of the first meeting of the eleventh triennium of the Audit, Risk and Investment Committee held at 2.55pm on Tuesday 26 November 2019, in the Tararua Room, Horizons Regional Council, 11-15 Victoria Avenue, Palmerston North.

PRESENT Crs JM Naylor (Acting Chair), EM Clarke, RJ Keedwell, SD Ferguson, NJ Patrick, and GJ Turkington.

IN ATTENDANCE Councillors F Gordon, W Kirton
Chief Executive Mr MJ McCartney
Committee Secretary Mrs KA Tongs

ALSO PRESENT At various times during the meeting:

Mr D Neal (Acting Group Manager Corporate and Governance),
Mr G Shirley (Group Manager Regional Services & Information),
Dr J Roygard (Group Manager Natural Resources & Partnerships),
Mr G Bevin (Regulatory Manager), Mr J Bell (Manager Investigations
& Design), Mr A Smith (Chief Financial Officer), Mr E Lloyd (Senior
Health & Safety Officer), Ms C Morrison (Media & Communications
Manager), Mr S Mancer, Ms E Bethel and Ms N Anderson
(Management Accountants).

Chair Rachel Keedwell welcomed everyone to the meeting and invited Cr Jono Naylor to chair the meeting due to Cr Benbow being granted Leave of Absence.

APOLOGIES

It was noted that Cr Allan Benbow had Leave of Absence.

PUBLIC FORUMS / DEPUTATIONS / PETITIONS

There were no requests for public speaking rights.

SUPPLEMENTARY ITEMS

ARI 19-1 Moved Naylor/Keedwell

That the Committee receives PX19-185, Financial Performance for the four months ended 31 October 2019, as a supplementary item.

CARRIED

MEMBERS' CONFLICTS OF INTEREST

There were no conflicts of interest declared.

HEALTH AND SAFETY REPORT AS AT 15 NOVEMBER 2019

Report No 19-183

Mr Lloyd (Senior Health & Safety Officer) introduced this report which provided Members with a summary of health and safety related activities and performance for the financial year up to 15 November 2019. Mr Lloyd explained a Summary of the Critical Risks for Horizons was included with this report for the new Council, and responded to Members' questions of clarification.

ARI 19-2 **Moved** **Naylor/Patrick**

That the Committee recommends that Council:

a. receives the information contained in Report No. 19-183 and Annex.

CARRIED

PROCEDURAL MOTION TO EXCLUDE THE PUBLIC

ARI 19-3 **Moved** **Naylor/Keedwell**

THAT the public be excluded from the following part(s) of the proceedings of this meeting. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 and section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

CARRIED

General subject of each matter to be considered	Reason for passing this resolution	Ground(s) under section 48(1) for the passing of this resolution
PX1 Risk Register Update	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The report refers to areas of risk which may be of a commercially sensitive nature.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX2 Financial Performance for the Four Months ended 31 October 2019	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The Financial Reports have not been audited and are subject to a number of year end adjustments and audit review before being released to the public.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

PX3

Council / Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain and define the extent of the release

The meeting adjourned to the Public Excluded part of the meeting at 3.14pm and resumed at 3.43pm.

The meeting closed at 3.44pm.

Confirmed

CHIEF EXECUTIVE

CHAIR

Minutes of the extraordinary meeting of the eleventh triennium of the Audit, Risk and Investment Committee held at 11.00am on Friday 1 May 2020, via audio visual link, as a result of a change in legislation due to Covid-19.

PRESENT Via audio visual link: Crs AL Benbow (Chair), EM Clarke, RJ Keedwell, SD Ferguson, JM Naylor, NJ Patrick, and GJ Turkington

IN ATTENDANCE Via audio visual link:
Chief Executive Mr MJ McCartney
Committee Secretary Mrs JA Kennedy

ALSO PRESENT At various times during the meeting:
Via audio visual link: Mr C Morris (Acting Group Manager Corporate & Governance), Mr R Strong (Group Manager River Management), Mr A Smith (Chief Financial Officer), Mrs K Tongs (Governance Coordinator).

The Chair welcomed everyone to the meeting and invited Cr Naylor to say a karakia.

APOLOGIES

There were no apologies.

PUBLIC FORUMS / DEPUTATIONS / PETITIONS

There were no requests for public speaking rights.

SUPPLEMENTARY ITEMS

There were no supplementary items to be considered.

MEMBERS' CONFLICTS OF INTEREST

There were no conflicts of interest declared.

KARA CREEK AND MANGAPUKETEA STREAM STOPBANK UPGRADES

Report No 20-44

This item sought approval to amend the value of Contract 2018/90 Kara Creek & Mangapuketea Stream stopbank upgrade. Mr Strong (Group Manager River Management) introduced the item and outlined the additional contract costs associated with finding an alternative fill source. He clarified Members' questions.

ARI 20-4 **Moved** **Naylor/Turkington**

It is recommended that Council:

- a. receives the information contained in Report No. 20-44.
- b. approves an increase in contract sum for Lower Manawatu Scheme Rural Upgrade Project Contract No. 2018/90 Kara Creek & Mangapuketea Stream Stopbank Upgrades, to \$441,040.00.

CARRIED

The meeting closed at 11.10am.

Confirmed

CHIEF EXECUTIVE

CHAIR

Public Excluded Section

RECOMMENDATION

That the public be excluded from the remainder of the Council meeting as the general subject matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48 (1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

General subject of each matter to be considered	Reason for passing this resolution	Ground(s) under section 48(1) for the passing of this resolution
PX1 Confirmation of Public Excluded Meeting held on 26 November 2019	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX2 Risk Register Update	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The report refers to areas of risk which may be of a commercially sensitive nature.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX3 Financial Performance for the Year Ending 30 June 2020	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The financial reports have not been audited and are subject to a number of year end adjustments and audit review before being released to public.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX4 Covid-19 Update / Discussion	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7
PX5 Council / Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain and define the extent of the release		